

Nick Katz I June 5, 2007

Deligne Mixed Hodge Theory

$U$  "open" smooth /  $\mathbb{C}$

$$= X \setminus \bigcup_i D_i$$

$\uparrow$   
 smooth divisor in  $X$   
 normal crossings

$j: U \hookrightarrow X$

$H_c(U, \mathbb{Q}) := H(X, j! \mathbb{Q}_U)$

$$j: \mathbb{Q}_U \rightarrow \mathbb{Q}_X \rightarrow \bigoplus_i \mathbb{Q}_{D_i} \rightarrow \bigoplus_{i,j} \mathbb{Q}_{D_i \cap D_j} \rightarrow \dots$$

$j! \mathbb{Q}_U = [ \mathbb{Q}_U \rightarrow \mathbb{Q}_X \rightarrow \bigoplus_i \mathbb{Q}_{D_i} \rightarrow \dots ]$

E.g. *simplest case*  
 $U = X \setminus D$

$j! U: \mathbb{Q}_X \rightarrow \mathbb{Q}_D$

$$\dots \rightarrow H_c^i(U) \rightarrow H_c^i(X) \rightarrow H_c^i(D) \rightarrow H_c^{i+1}(U)$$

$$E_{1, a, b} = \bigoplus_{i_1 < \dots < i_a} H^b(D_{i_1} \cap \dots \cap D_{i_a}) \Rightarrow H_c(U)$$

$a$ -fold  $\cap$  is  $X$  by defn

Simplest case example

$$0 \rightarrow H^{i-1}(D) / H^{i-1}(X) \rightarrow H_c^i(U) \rightarrow \ker(H^i(X) \rightarrow H^i(D)) \rightarrow 0$$

(2)

smooth normal crossing divisors

$\Rightarrow$   $a$ -fold  $\cap$

$$D_1 \cap \dots \cap D_a$$

is smooth projective

Spectral seq. degenerates  $d_r = 0$   
for  $r \geq 2$

$H_c^i(U, \mathbb{Q})$  has an increasing filtration

$$W_{-1} = 0 \subseteq W_0 \subseteq W_1 \subseteq \dots \subseteq W_i$$

$W_j / W_{j-1} \otimes \mathbb{C}$  is a pure Hodge structure weight.

Miracle:

(i) Structure is indep. of the auxiliary choices of  $X, D_i$  used to define it.

(ii) Functoriality

(Assume we define MHS for all varieties already)

$V \subseteq Y$  open  $Z := Y \setminus V$

$\dots \rightarrow H_c^i(V) \rightarrow H_c^i(Y) \rightarrow H_c^i(Z) \rightarrow H_c^{i+1}(V) \rightarrow \dots$

respects  $W$  filtration and on  $gr_W^j(\dots)$  is an exact sequence of pure Hodge structures of weight  $j$

ordinary cohom

$U = X \setminus \bigcup_i D_i$

$\downarrow$  Leray spectral seq.

$E_2^{a,b} = H^2(X, R^b j_* \mathbb{Q}_U) \rightarrow H^{a+b}(U, \mathbb{Q})$

$\rightsquigarrow$  gives filtration  $W_{-1} = 0 \subseteq W_1 \subseteq \dots$  on  $\uparrow$

$\Omega_X(\log D)$  filter this according to  $\frac{dx_i}{x_i}$  allowed

$H(\dots) = H(U, \mathbb{C})$

E - polynomial

$$E(U; x, y) \in \mathbb{Z}[x, y]$$

$$= \sum_i (-1)^i \left\{ \sum_{a,b} x^a y^b h^{a,b} g_{a+b}^w(H_c^i(U)) \right\}$$

Excision property  $\Rightarrow$

$V$  open in  $Y$ ,  $Z := Y \setminus V$

$$E(V; x, y) = E(Y; x, y) - E(Z; x, y)$$

$K(\text{sch}/\mathbb{C})$

free abelian group on  $[T]$   
 $T$  separated  $\mathbb{C}$ -scheme of finite type

- $[T] \sim [T^{\text{red}}]$
- $[V] \sim [Y] - [Z]$

N.B. In  $K(\text{sch}/\mathbb{C})$  every element is  $[ \text{proj nonsing } X ] - [ \text{proj nonsing } Y ]$   
(not necessarily connected)

pf Reduce to affine

$$\mathbb{Z} \subset \mathbb{A}^n$$

$$[\mathbb{A}^n] - [\mathbb{A}^n \setminus \mathbb{Z}] = [\mathbb{Z}]$$

inclusion-exclusion

$$U = [X] - \sum_i [D_i] + \sum_{i < j} [D_i \cap D_j] - \dots$$

Deligne MMS  $\Rightarrow E$  is well defined on  $K(\text{sch}/\mathbb{C})$  (write it as

$$E(X; x, y) = E(Y; x, y)$$

for  $[X] = [Y]$ )

Polynomial count varieties

Arbitrary  $X/\mathbb{F}_q$  is polynomial-count if there exists  $P(t) \in \mathbb{C}[t]$  s.t. for all finite fields  $k/\mathbb{F}_q$

$$\# X(k) = P(\#k)$$

e.g.  $X = \mathbb{A}^n, P(t) = t^n$   
 $X = \mathbb{P}^n, P(t) = 1 + t + \dots + t^n$

EX.  $X$  polynomial count  $P$  has  $\mathbb{Z}$ -coeffs. ⑥  
 (Note  $P$  is uniquely determined).  
 Use  $Z(X, T)$  is a rational fctn  
 can we do it without this?

$X/\mathbb{C}$  is polynomial count if there  
 exists a spreading out  $X/R \subseteq \mathbb{C}$   
 and  $P \in \mathbb{C}[t]$  s.t. finitely  
generated  
 $\mathbb{Z}$ -algebra

$R \xrightarrow{\varphi} k = \text{finite field}$

$X \otimes_R k$  is polynomial with  
 $R \nearrow \varphi$  polynomial  $P$ .

Defn In  $k$  group say:

$$[X] \underset{\text{zeta}}{\sim} [Y]$$

if there exist spreading out  $X$  and  $Y$   
 over some  $R$  s.t. for all

$$R \xrightarrow{\varphi} k$$

$$\# X_k(k) = \# Y_k(k)$$

(equiv. they have the same zeta fctn)

$$K(\text{schemes}/\mathbb{R}) \rightarrow K(\text{schemes}/\mathbb{C})$$

In this language: polynomial count means zeta equivalent to  $\sum_n a_n [A^n]$

$$(P(t) = \sum_n a_n t^n)$$

OR zeta equivalent to  $\sum_n b_n [P^n]$

THM If  $X, Y \in K(\text{sch}/\mathbb{C})$  are zeta equiv then

$$E(X; x, y) = E(Y; x, y)$$

Pf  $[X] = [S] - [T]$   $S, T, S_1, T_1$   
 $[Y] = [S_1] - [T_1]$  proj. smooth

$$[S] + [T_1] \underset{\text{zeta}}{\sim} [S_1] + [T]$$

Fontaine-Messing  $\Rightarrow E(S \amalg T; x, y)$   
Faltings  $= E(S_1 \amalg T; x, y)$   
(same Hodge numbers)

For polynomial count  $X$

$$E(X; x, y) = \sum_n b_n E(P^n; x, y)$$

Cor  $E(X; x, y) = P(xy)$

Example

$E_1, E_2$  two isogenous elliptic curves

$$\mathbb{P}^2 \setminus E_1 \perp E_2 \sim \text{zeta} \mathbb{P}^2$$

$$j \downarrow \\ \mathbb{P}^N$$

Let  $X = \mathbb{P}^N \setminus \text{image } j$

polynomial count not paved by  
affines.

$$\# E(\mathbb{F}_q) = q + 1 - aq$$

(8)